NO. 2752 P. 3 APPLIED BIOSYSTEMS LEGAL2 2:08PM JAN 2 2 2004 PTO/SB/01 (10-00) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Petent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a pro sign (+) inside this box -> + Under Paperwork Reduction Act of 1995, 110 persons DECLARATION FOR UTILITY OR DESIGN Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney D cket Number ABIOS.037A First Named Inventor Thomas E. Birkett PATENT APPLICATION (37 CFR 1.63) **COMPLETE IF KNOWN Application Number** 10/650,470 Declaration Declaration Submitted OR Submitted after Filing Date August 28, 2003 With Initial Initial Filing Filing (surcharge Art Unit (37 CFR 1.16(c)) required) Examiner Name Unknown

| I believe I am the original, first are listed below) of the subject | and sole inventor (if on matter which is claime | s stated below next to my namely one name is listed below) of and for which a patent is so OR SEQUENCE EVA | or an original, first and pught on the invention of | joint inventor (if plural names entitled: |
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| the specification of which | | (Tibe of the invention) | | |
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| | 8. 2003 as United States | s Application Number 10/650, | 470. | |
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[Page 1 of 5]
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| I hereby declare that all statements made here be true; and further that these statements wer imprisonment, or both, under 18 U.S.C. 1001 is hereon. | in of my owr | n knowledge are | that willful false statement | ie Suda furė nk | a so made are punishable by fine or |
| NAME OF SOLE OR FIRST INVEN | ITOR: | | A petition has been | n filed for | this unsigned inventor. |
| Given Name Thomas E. (first and middle [if any]) | | | Femily Name Birk | ætt | |
| | B_{a} | 10H | | Date | ECOE & NAM |
| Residence: City Stockton | | State C | A Country USA | Citizer | nship USA |
| Mailing Address 465 South Tuxed | lo Avenue | - | | | |
| City | State | CA | 2ip 95204 | | Country |
| NAME OF SECOND INVENTOR: | | | A petition has bee | n filed for | this unsigned inventor. |
| Given Name Puncet (first and middle [if any]) | | | Family Name Suri | İ | |
| | | Control of the second of the s | | Date | |
| Residence: City Foster City Red | wood City | State C | A Country USA | Citizei | |
| Mailing Address 13 East Court La | me 95 | Watersid | le Circle | و۶ _(۱) | Irclay |
| City Fuster-City Redwood City | State | CA | Zip 94404 c | 14065 | Country |
| Additional inventors are being nar | ned on the _ | _3 supple | mental Additional Invento | or(s) sh ee t <u>(</u> s |) PTO/SB02A attached hereto. |

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Supplemental Sh ct

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ADDITIONAL INVENTOR(S)

Page _ 3 _ of _ 5 A petition has been filed for this unsigned inventor. Name of Additional Joint Inventor, if any: Family Name Kai C. Yung Given Name Or Surname (first and middle [if any)) inveners Signature **USA USA** CA Livermore Citizenship Country State Residence: City 189 Cameo Drive Mailing Address **Mailing Address** USA 94550 CA Livermore Country State ZID City A petition has been filed for this unsigned inventor. Name of Additional Joint Inventor, if any: Family Name Fang Given Name Sylvia H. Or Surname (first and middle [if any]) CA USA Taiwan Fremont Citizenship Country State Residence: City 923 Oranda Terrace Mailing Address Mailing Address 94536 **USA** CA Fremont Country Zip State City A petition has been filed for this unsigned inventor. Name of Additional Joint Inventor, if any: Family Name Kumar Given Name Salil Or Surname (first and middle [if any]) inventere Signalure India **USA** TX Sugar Land Citizenship Country State Residence: City 2222 Settlers Way #933 Mailing Address **Mailing Address**

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ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 5

| or, if any: | |] A petition | has been | filed for this unsigned inventor. |
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ADDITIONAL INVENTOR(S) Supplemental Sheet Page 4 of 5

| Name of Additional Joint Invent | or, if any: | | A petition ha | as been | filed for this unsigned inve | entor. |
|---|----------------------------|----------|---------------------------|-----------|------------------------------|------------------|
| Given Name Benjamin I | В. | | Family Name Or Surname | Jones | | |
| | Carlotte Carlotte Children | | | | 1. 4/25/202 | |
| Residence: City | V | State CA | Country | USA | Citizenship USA | |
| Malling Address 3224 Estero Dri | ve | | - | | | |
| Mailing Address | | | | | | |
| City San Ramon | State | CA | Zip 9458 | 3 | Country USA | |
| Name of Additional Joint Invent | or, if any: | | A petition ha | as been | filed for this unsigned inve | entor. |
| Given Name [first and middle [if any]] | | | Family Name Or Surname | Sorens | on . | |
| | | | | | i terizan | |
| Residence: City Oakland | | State CA | Country | USA | Citizenship USA | |
| Mailing Address 473 Jean Street, | #203 . 8 | SOI Midd | alefield Rd | #12 | , () A 11/12/03 | |
| Mailing Address | | | | | | |
| city Oakland Pale Alte | State | CA | 79461 Zip | o 94 | 30 Country USA | |
| Name of Additional Joint Invent | or, if any: | | A petition ha | as been 1 | iled for this unsigned inve | entor. |
| Given Name Yuandan (first and middle [if any]) | | | Family Name Or Surname | Lou | | |
| | 3 | | | | 300 | Secondary Page 1 |
| Residence: City Cupertino | | State CA | Country | ŬSΑ | Citizenship China | |
| Malling Address 10210 Vicksbur | g Court | | | | | <u> </u> |
| Mailing Address | | | | | | _ |
| City Cupertino | State | CA | 21p 9501 | 4 | Country USA | |

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| Name of Additional Joint Invento | r, if any: | | Αr | etition has been t | filed for | r this unsigned inventor. |
| Given Name Catherine E | | | 0r S | ly Name Frantz urname | r 7907001 c | |
| | | ran J | | | Date | 166/207 |
| Residence: City Los Altos Hills | | State CA | . | Gountry USA | Citizer | USA nehlp |
| Malling Address 13320 Wildcrest | Drive | | | | | |
| Mailing Address | | | _ | | | |
| City Los Altos Hills | State | CA | ZIp | 94022 | | Country USA |
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| Signature of the same of the s | | | | | Date | |
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| | Application N | | 10/650,470 | | |
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| POWER OF ATTORNEY OR | First Named I | nventor | Thomas E. Bi | rkett | |
| POWER OF ATTORNEY OR AUTHORIZATION OF AGENT | Group Art Uni | it | Unknown | | |
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| | Attorney Doci | kot Number | ABIOS.037A | | |
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SIGNATURE of Applicant or Assignee of Record

NOTE: Signatures of all the inventors or assignces of record of the entire interest or their representative(s) are required. Submit multiple

Date

January 16, 2004

X

Total of

Signature

Name

Applicant/Inventor.

Reg. No. 34,601

forms are submitted.

forms if more than one signature is required, see below.*

Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (PTO/SB/96)

Jeffery D. Frazier, Senior Patent Attorney

NO. 2752 P. 21

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| JAN 2 2 2004 (S) STATEMENT UNDER 37 CFR 3.73(b) |
| Aggicant/Patent goner: Thomas E. Birkett, Puneet Suri, Kai C. Yung, Sylvia H. Fang, Salil Kumar, |
| Benjamin B. Jones, Jon M. Sorenson, Yuandan Lou, and Catherine E. Franz |
| Application No./Patent No.: 10/650,470 Filed/Issue Date: August 28, 2003 |
| Entitled: AUTO-ANALYSIS FRAMEWORK FOR SEQUENCE EVALUATION |
| Applera Corporation , a corporation (Name of Assignce) , a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) |
| states that it is: |
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| 2. an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is% |
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| OR |
| B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: |
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| [] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. |
| January 16, 2004 Jeffery D, Frazier |
| Date Typed or printed name |
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| Signature |
| Senior Patent Attorney |
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LIMITED AUTHORIZATION TO ACT ON BEHALF OF ASSIGNEE REGARDING CERTAIN PATENT AND TRADEMARK MATTERS EFFECTIVE THROUGH: December 31, 2005

I, Paul D. Grossman, as Assistant Secretary of Applera Corporation ("Applera"), hereby authorize: Vincent M. Powers, Reg. No. 36, 246, Scott R. Bortner, Reg. No. 34,298, Jeffery D. Frazier, Reg. No. 34,601, and Andrew T. Kamakis, Reg. No. 27,909, all Senior Patent Attorneys, (1) to act on behalf of Applera, with regard to any matters before the United States Patent and Trademark Office, any foreign patent or trademark offices, and any international patent or trademark entities, (2) to execute power of attorney documents on behalf of Applera, to appoint and/ or establish any attorneys, agents, and/ or law firms to act on behalf of Applera, in any foreign or international patent or trademark applications filed with any foreign and/ or international patent or trademark offices, and (3) to execute assignment and ownership documents on behalf of Applera, with regard to any matters before the United States Patent and Trademark Office, any foreign patent or trademark offices, and any international patent or trademark offices, and any international patent or trademark offices.

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| Paul D. Grossman | Date |
| Assistant Secretary, Applera Corporation | |
| State of California) | |
|) ss. County of San Mateo) | |
| on Jan. 9 2003 before me, Patti D. Sele | an Notary Public |
| personally appeared Paul D. Gossman, pers | sonally known to me (or proved to me on |
| the basis of satisfactory evidence) to be the person w | |
| instrument and acknowledged to me that he/ske/they exec capacity(i)(s), and that by his/her/their signature on the | |
| upon behalf of which the person(s) acted, executed the in | , |

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Notary Public

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Pala Alto, CA 94301

Case No. ABIOS-037A

ASSIGNMENT

For good and valuable consideration paid to us, receipt of which is hereby acknowledged, we each: Thomas E. Birkett, a citizen of the United States of America, residing at 465 South Tuxedo Avenue, 95 Walerside ~ Stockton. CA 95204: Puneet Suri, a citizen of India, residing at 18 Cameo Drive, Livermore, CA 94404; Kai C. Yung, a citizen of the United States of America, residing at 189 Cameo Drive, Livermore, CA 94065 CA 94550; Sylvia H. Fang, a citizen of Taiwan, residing at 923 Oranda Terroce, Fremont, CA 94536; Salil Kumar, a citizen of India, residing at 2222 Settlers Way #933 Sugar Land, TX 77478; Benjamin B. Jones, a citizen of the United States of America, residing at 3224 Estero Drive, San Ramon, CA 94583; Jon M. Sorenson, a citizen of the United States of America, residing at 473-Jean Street, #203, Oakland, CA. 94610; Yuandan Lou, a citizen of China, residing at 10210 Vicksburg Court, Cupertino, CA 95014; and Catherine E. Frantz, a citizen of the United States of America, residing at 13320 Wildcrest Drive, Los Altos Hills, CA 94022 do hereby sell, assign, and set over unto 801 Midollefreld Rol #18

Applera Corporation

a Delaware corporation having a place of business at 850 Lincoln Centre Drive, Foster City, California (hereinafter "Assignee"), its successors, legal representatives and assigns, each of our entire right, title, and interest in and to any and all of our inventions and discoveries entitled:

AUTO-ANALYSIS FRAMEWORK FOR SEQUENCE EVALUATION

as described and/or claimed in our United States patent application, having an oath or declaration fully executed by us and, subsequently assigned Serial Number 10/650,470 and filing date of August 28, 2003 by the United States Patent and Trademark Office; in and to the right to file patent applications in the name of Assignee, its designee, in all of our names, or in any other name or names, on the aforesaid inventions and discoveries in any or all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified United States patent application under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models, and under any other international arrangement to which the United States now is or hereafter becomes a signatory and to any and all applications for Letters Patent, and any and all Letters Patent that issue on any of the aforesaid applications, and in and to any and all divisions, continuations, and continuations-in-part of any and all of said applications, and any and all reissues, renewals and extensions of any of said legicles facility such that the same right, title and interest to be held and enjoyed by Assignee, its successions, assigns or other legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, shall be as full and complete as that that would have been held and enjoyed by us if this assignment and sale had not been made.

We further hereby covenant and agree, for the same consideration, whenever counsel of Assignce, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with said invention, or said application for Letters Patent, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters Patent. or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable to sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said Assignee, its successors, legal representatives and assigns, but at the cost and expense of said Assignee, its successors, legal representatives and assigns.

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We hereby authorize Assignce to insert in this instrument the serial number and filing date of said application when officially notified thereof.

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| State of California |)) ss. | - DO NOT | RECORD |
| County of San Mateo |) | | |
| personally appeared 'satisfactory evidence acknowledged to me | , 2003 before me,, Thomas E. Birkett, personally know to be the person whose name is so that he/she/they executed the same ture on the instrument the person (strument.) | wn to me (or proved to me or ubscribed to the within instru in his/her/their authorized o | n the basis of ument and capacity(ies), and that |
| WITNESS my hand a | and official seal. | | |
| CHERYL COMM. HOTELY PER | R. GOMEZ | Charl D. Son Notary Public | ner. |

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| Name of inventor: Inventor's signature _ | Puncot Suri | Date: | 11/25/03 | _ | |
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| State of California County of San Mateo |)) ss. | | |)PY | ORD - |
| personally appeared I evidence) to be the pe that he/she/they exect | 25, 2003 before me, _ Puneet Suri, personally knowerson whose name is subser- uted the same in his/her/the ument the person(s), or the | wn to me (or proved ibed to the within ins is authorized capacity | to me on the strument and y(ies), and th | basis of sati acknowledg at by his/her | isfactory gcd to me r/their |
| WITNESS my hand a | ınd official seal. | | | | |
| CHERY COM HOTHAY P SAN IN Comm. Ex | /L.R. GOMEZ M. 9 1409840 UNIC - CALPUNEA 8 IATEO COUNTY D. APRIL 6, 2007 | Cherry | √ R. × otary Public | Somen | · |

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| Name of inventor: Kai C. Yung Inventor's signature | Date: | |
|---|---|--|
| State of Colifornia | COPY | |
| State of California) | | |
|) ss. County of San Mateo) | - DO NOT RECORD - | |
| On November 21 , 2003 before me, Mi | la T. Kasay, Notary Public | |
| personally appeared Kai C. Yung, personally known to me (or proved to me on the basis of satisfactory | | |
| evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me | | |
| that he/ske/they executed the same in his/her/their authorized capacity(ics), and that by his/her/their | | |
| signature on the instrument the person(s), or the enti | ty upon behalf of which the person(s) acted, executed | |
| the instrument. | | |
| WITNESS my hand and official seal. | | |
| MILA T. KASAN COMMISSION #12/02002 | | |

Notary Public

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| Name of inventor: | Sylvia H. Fang | , | |
|---|--|--|---------------------------|
| Inventor's signature | Andra Fr | Date: 11/21/2003 | |
| State of California |) | COPY | |
| County of San Matec |) ss. o) | - DO NOT RECC | RD - |
| personally appeared evidence) to be the p | Sylvia H. Fang, personally knerson whose name is subscributed the same in his/her/then | nila T. Kasan, Notary Public nown to mc (or proved to me on the basis bed to the within instrument and acknowled authorized capacity (1853), and that by his intity upon behalf of which the person(s) | edged to me /her/their |
| WITNESS my hand | and official seal. | | |
| Con | WILA T. KASAN nymission #1270202 | gaila T. Kapan | <u>.</u> |

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Notary Public

| Name of inventor: | Salil Kumar | |
|--|--------------------|---------------------------|
| Inventor's signature | S. Kunar | Date: 01/15/04 |
| Texas State of California County of San Mateo |) 55. | COPY - DO NOT RECORD - |
| on <u>January 15</u> 2003 before me, <u>Jonathan Ries and feld</u> personally appeared Salil Kumar, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. | | |
| WITNESS my hand a | and official seal. | n: n 1/ |

JONATHAN RIESENFELD JONATHAN RUSSELL STATE OF TEXAS

- DO NOT RECORD -

| Name of inventor: Benjamin B. Jones | |
|--|----------------------|
| Inventor's signature Senjanin Dat | te: 11/25/2003 . |
| State of California)) ss. | . COPY |
|) ss. County of San Mateo) | - DO NOT RE |
| On November 25, 2003 before me, Cheryl K | E. Gomez, Notary Pub |

On November 25, 2003 before me, Chevy R. Gomez, Nothry Public, personally appeared Benjamin B. Jones, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Chary Public Notary Public

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From-650 638 6677

| Name of inventor: | Jon M. Sorenson | | |
|---|---|--|--|
| Inventor's signature _ | On Down | Date: | 3 |
| State of California |)) ss. | | COPY |
| County of San Mateo |) | - DU LVU | TRECORD. |
| personally appeared Josatisfactory evidence) acknowledged to me t | , 2003 before me, <u>Che</u> on M. Sorenson, personally kno to be the person whose name is that he/ehe/they executed the sar ure on the instrument the person strument. | own to me (or proved to me or s subscribed to the within in me in his/h es/thei r authorize | on the basis of strument and ed capacity(ies) , and that |
| WITNESS my hand a | nd official seal. | | `. |
| CHERY COMM | L R. GOMEZ S | Plany D. A. Notary Public | men. |

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| Name of inventor: | Yuandan Lou | |
|----------------------|-------------|----------------|
| Inventor's signature | A. 10.d. | Date: 11/13/03 |

State of California)
) ss.

County of San Mateo)

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On November 13, 2003 before me, Cheryl R. Gomez, Notary Public, personally appeared Yuandan Lou, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public.

- DO NOT RECORD -

Name of inventor: Catherine E. Frantz

Inventor's signature Catherine E. Frantz

State of California

) Ss.

County of San Mateo

On November 13

, 2003 before me, Cheryl R. Gomez, Notary Public

personally appeared Catherine E. Frantz, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

CHERYL R. GOMEZ
COMM. # 1408640
NOTATY PUBLIC GALIFOTHIA
BAN HATEO COUNTY
CORM. Erg. APRIL 8, 2007

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